

06/20/03

17611 U.S. PTO

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 30610/39383  
 First Inventor Zankel et al.  
 Title USE OF THE CHAPERONE RECEPTOR-ASSOCIATED PROTEIN (RAP) FOR THE DELIVERY OF THERAPEUTIC COMPOUNDS TO THE BRAIN AND OTHER TISSUES  
 Express Mail Label No. EV 323 771 527 US

200009-01  
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## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Mail Stop Patent Application  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit on original, and a duplicate for fee processing)*  
 2. ☒ Applicant claims small entity status.  
 See 37 CFR 1.27.  
 3. ☒ Specification [Total Pages 102]  
 INCLUDING:  
 - 1 Title Page  
 - 74 Pages of Specification  
 - 3 Pages Claims  
 - 1 Page Abstract  
 - 20 Pages of Drawings  
 - 3 Pages Sequence Listing

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  
 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  
 a. ☐ Computer Readable Form (CRF)  
 b. Specification Sequence Listing on:  
 i. ☐ CD-ROM or CD-R (2 copies), or ii. ☒ paper  
 c. ☐ Statements verifying identity of above copies

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 20]  
 5. ☒ Oath or Declaration [Total Pages 1]  
 a. ☐ Newly executed (original or copy)  
 b. ☐ Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*  
 i. ☐ DELETION OF INVENTOR(S)  
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  
 6. ☐ Application Data Sheet. See 37 CFR 1.76

## ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))  
 10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney  
 11. ☐ English Translation Document (if applicable)  
 12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations  
 13. ☐ Preliminary Amendment  
 14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
 15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)  
 16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  
 17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No. 10/206,446  
 Prior application information: Examiner Richard Beliveau Group / Art Unit: N/A

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	04743	or <input checked="" type="checkbox"/> Correspondence address below
Name MARSHALL, GERSTEIN & BORUN Nabeela R. McMillian		
Address 233 S. Wacker Drive, Suite 6300 Sears Tower		
City Chicago	State IL	Zip Code 60606-6357
Country US	Telephone (312) 474-6300	Fax (312) 474-0448

Name (Print/Type) Nabeela R. McMillian	Registration No. (Attorney/Agent) 43,363
Signature <i>Nabeela R. McMillian</i>	Date June 20, 2003

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 323 771 527 US, in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: June 20, 2003

Signature: *Richard Zimmermann* (Richard Zimmermann)

<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2003</h3> <p style="margin: 0; font-size: small;">Patent fees are subject to annual revision.</p>		<p style="margin: 0; font-weight: bold;">Complete if Known</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>Not Yet Assigned</td> </tr> <tr> <td>Filing Date</td> <td>June 20, 2003 (herewith)</td> </tr> <tr> <td>First Named Inventor</td> <td>Zankel et al.</td> </tr> <tr> <td>Examiner Name</td> <td>Not Yet Assigned</td> </tr> <tr> <td>Group Art Unit</td> <td>Not Yet Assigned</td> </tr> <tr> <td>Attorney Docket No.</td> <td>30610/39383</td> </tr> </table>		Application Number	Not Yet Assigned	Filing Date	June 20, 2003 (herewith)	First Named Inventor	Zankel et al.	Examiner Name	Not Yet Assigned	Group Art Unit	Not Yet Assigned	Attorney Docket No.	30610/39383
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TOTAL AMOUNT OF PAYMENT	(\$)	501.00													

<p style="margin: 0; font-weight: bold;">METHOD OF PAYMENT (check all that apply)</p> <p> <input checked="" type="checkbox"/> Check                    <input type="checkbox"/> Credit Card                    <input type="checkbox"/> Money Order                    <input type="checkbox"/> Other                    <input type="checkbox"/> None             </p> <p><input type="checkbox"/> Deposit Account</p> <p>Deposit Account Number: 13-2855</p> <p>Deposit Account Name: MARSHALL, GERSTEIN &amp; BORUN</p> <p>The Commissioner is hereby authorized to: (check all that apply)</p> <p> <input type="checkbox"/> Charge fee(s) indicated below                    <input checked="" type="checkbox"/> Credit any overpayments             </p> <p> <input type="checkbox"/> Charge any additional fee(s) during the pendency of this application             </p> <p> <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.             </p>				<p style="margin: 0; font-weight: bold;">FEE CALCULATION (continued)</p>																																																																																																																																																																																																																																							
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<p style="margin: 0; font-weight: bold;">SUBMITTED BY</p> <p>Name (Print/Type): Nabeela R. McMillian</p> <p>Signature: <i>Nabeela R. McMillian</i></p>		<p style="margin: 0; font-weight: bold;">Registration No.</p> <p>(Attorney/Agent): 43,363</p>		<p style="margin: 0; font-weight: bold;">Complete (if applicable)</p> <p>Telephone: (312) 474-6300</p> <p>Date: June 20, 2003</p>	
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<h2 style="margin: 0;">TRANSMITTAL FORM</h2> <p style="font-size: small; margin: 5px 0;">(to be used for all correspondence after initial filing)</p>	Application Number	Not Yet Assigned	
	Filing Date	June 20, 2003 (herewith)	
	First Named Inventor	Zankel <i>et al.</i>	
	Group Art Unit	Not Yet Assigned	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission	105	Attorney Docket Number	28967/39383

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input checked="" type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input checked="" type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below)  Continuation-in-Part Patent Application; Sequence Listing (Paper Copy); Return Receipt Postcard
Remarks		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual Name	MARSHALL, GERSTEIN & BORUN Nabeela R. McMillian - 43,363	
Signature		
Date	June 20, 2003	

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Dated: June 20, 2003	Signature:  (Richard Zimmermann)